

1-28-02

AP/2815

Please type a plus sign (+) inside this box →



PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/912,041

Filing Date

July 24, 2001

First Named Inventor

Lingyi A. Zheng

Group Art Unit

2815

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number

MTI-31470

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Supplemental
Information Disclosure Statement☐ Certified Copy of Priority
Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence
Address☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance Communication
to Group☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosures
(please identify below)Supplement Form 1449,
cited references, and return
postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual nameWhyte Hirschboeck Dudek, S.C.
Kristine M. Strodthoff

Signature

Kristine M Strodthoff

Date

January 25, 2002

CERTIFICATE OF MAILING ExpressMail EL81008291605

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 1/25/02

Typed or printed name

JASON CHILSON

Signature

Jason Chilson

Date

1/25/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#4/Supp. IOS
DKing
2/26/02

Patent

Attorney Docket No.: MTI-31470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Zheng, Lingyi A.
Serial No. : 09/912,041
Confirmation No. : 4539
Filing Date : July 24, 2001

For: Improved Cell Nitride Nucleation on Insulative Layers and Reduced Corner Leakage of Container Capacitors

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

Mailing

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

☐ with sufficient postage as first class mail ☒ As "Express Mail Post Office to Addressee" Mailing Label No. EL810082916US

Transmission

☐ transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.

Date: 1/25, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent Form PTO-1449 are those patents and other publications known to Applicant that may be considered material to the patentability of the claims of the above-identified application.

The Applicant respectfully requests that the documents listed on Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,

Kristine M. Strodthoff
Registration No. 34259

Dated: January 25, 2002

P.O. ADDRESS:
WHYTE HIRSCHBOECK DUDEK S.C.
111 East Wisconsin Avenue, Suite 2100
Milwaukee, Wisconsin 53202
(414) 273-2100
Customer No.: 022202

TECHNOLOGY CENTER